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APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/875,305	06/05/2001	Victor J. Dzau	50025/003003

21559
CLARK & ELBING LLP
176 FEDERAL STREET
BOSTON, MA 02110-2214

CONFIRMATION NO. 7095
FORMALITIES LETTER



OC00000006401148

Date Mailed: 08/08/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- **For Rules Interpretation, call (703) 308-4216**
- **To Purchase PatentIn Software, call (703) 306-2600**

- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov
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Janet?

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



PATENT
ATTORNEY DOCKET NO. 50025/003003

Certificate of Mailing: Date of Deposit: October 9, 2001

I hereby certify under 37 C.F.R. § 1.8(a) that this correspondence is being deposited with the United States Postal Service as **first class mail** with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231.

Susan M. Barry
Printed name of person mailing correspondence

Susan M. Barry
Signature of person mailing correspondence

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Victor J. Dzau et al.

Art Unit: 1632

Serial No.: 09/875,305

Examiner: Not Yet Assigned

Filed: June 5, 2001

Customer No.: 21559

Title: Therapeutic Use of Cis-Element Decoys *In Vivo*

Assistant Commissioner for Patents
Washington, D.C. 20231

STATEMENT UNDER 37 C.F.R. § 1.821

Enclosed is a sequence listing in accordance with the requirements of 37 C.F.R. §§ 1.821 through 1.825 and consisting of three pages.

As required by 37 C.F.R. § 1.821(c), please insert the sequence listing as a separate part of the application, after the Combined Declaration and Power of Attorney.

Each sequence in the application appears separately in the sequence listing, and each sequence in the sequence listing is assigned a separate sequence identifier.

As required by 37 C.F.R. § 1.821(d), the sequence identifiers are used throughout the application description to refer to their respective sequences.



As required by 37 C.F.R. § 1.821(e), enclosed is a diskette containing a copy of the sequence listing in computer readable form.

As required by 37 C.F.R. § 1.821(f), I hereby state that the contents of the computer readable form are the same as the contents of the paper copy.

As required by 37 C.F.R. § 1.821(g), I hereby state that this submission contains no new matter.

If there are any charges or any credits, please apply them to Deposit Account No. 03-2095.

Respectfully submitted,

Date: October 7, 2001

Susan M. Michaud
Susan M. Michaud, Ph.D.
Reg. No. 42,885

Clark & Elbing LLP
176 Federal Street
Boston, MA 02110
Telephone: 617-428-0200
Facsimile: 617-428-7045
50025.003003 Sequence Statement.wpd



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Susan M. Barry

Printed name of person mailing correspondence

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Art Unit: 1632

Serial No.: 09/875,305

Examiner: Not Yet Assigned

Filed: June 5, 2001

Customer No.: 21559

Title: Therapeutic Use of Cis-Element Decoys *In Vivo*

Assistant Commissioner for Patents
Washington, D.C. 20231

REPLY TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

In reply to the Notice to File Corrected Application Papers mailed August 8, 2001

(a copy of which is enclosed), applicant, as a small entity, submits herewith the following:

A transmittal to the Official Draftsperson and five sheets of formal drawings to replace the informal drawings filed with the application.

A Sequence Statement, Sequence Listing, and diskette in accordance with the requirements of 37 C.F.R. §§ 1.821 through 1.825.

If there are any charges or any credits, please apply them to Deposit Account
No. 03-2095.

Respectfully submitted,

Date: October 9, 2001

Susan M. Michaud
Susan M. Michaud, Ph.D.
Reg. No. 42,885

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Washington, DC 20231

TRANSMITTAL OF FORMAL DRAWINGS TO THE OFFICIAL DRAFTSPERSON

In reply to the Notice to File Corrected Application Papers that was mailed in the above-captioned case on August 8, 2001, enclosed are:

Five sheets of formal drawings to replace the informal drawings that were filed with the application.

If there are any charges or any credits, please apply them to Deposit

Account No. 03-2095.

Respectfully submitted,

Date: October 9, 2001

Susan M. Michaud
Susan M. Michaud, Ph.D.
Reg. No. 42,885

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Telephone: 617-428-0200
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50025.003003 Transmittal of Formal Drawings to Official Draftsperson.wpd



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